

01/10/02
JCS11 U.S. PTO

01.14.02

PATENT
ATTORNEY DOCKET NO.: 046700-5053

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner of Patents
BOX PATENT APPLICATION
Washington, D.C. 20231

JCS11 U.S. PTO
10/043566
01/10/02

**TRANSMITTAL FOR A NEWLY EXECUTED ORIGINAL APPLICATION
UNDER 37 C.F.R. §1.53(b)**

This is a request for filing a patent application under 37 C.F.R. §1.53(b) for:

Inventor: Boaz Maor

For: "METHOD, APPARATUS, AND SYSTEM FOR ALLOTING AN AWARD VOLUME
IN AN AUCTION"

1. This is a new ☒ Utility ☐ Design ☐ Plant patent application.
2. The papers enclosed to obtain a filing date are as follows:

<u>55</u>	Pages of Specification
<u>1</u>	Title Page
<u>7</u>	Pages of Claims
<u>1</u>	Page of Abstract
<u>9</u>	Sheets of drawings containing <u>11</u> Figures

☐ The enclosed drawings are photographs, and there is also attached a
PETITION TO ACCEPT PHOTOGRAPH(S) AS DRAWING(S)

3. Declaration and Power of Attorney

☐ Enclosed and is executed by all inventors
☒ Not Enclosed.

This application is being filed under the provisions of 37 C.F.R. §1.53(f).
Applicant(s) await notification from the Patent and Trademark Office of
the time set for filing the Declaration.

4. Language



English



Non-English

This application is being filed in accordance with 37 C.F.R. §1.52(d) and §608.01 of the MPEP. Applicant(s) await notification from the Patent and Trademark Office of the time set for filing the verified English translation and the processing fee.

5. Assignment



An assignment of the invention to _____ and a PTO Form-1595, Recordation Form Cover Sheet, are enclosed.



An assignment will be filed at a later date.

6. Priority - foreign applications under 35 U.S.C. §119(a)-(d) or §365(b) or PCT international applications under 35 U.S.C. §365(a) designating at least one country other than the U.S.

☐ Priority of the following foreign application is claimed:

Country	Application No.	Filed

Certified copy: ☐ is attached. ☐ will follow.

7. Priority based on provisional application(s) - 35 U.S.C. §119(e)

☐ Priority of the following provisional application(s) is claimed:

Application No.	Filed

A. Relate Back - 35 U.S.C. §120



The present application is a continuation-in-part of U.S. Patent Application No. 09/753,073, which is currently pending and assigned to the assignee of the present invention.

8. Small entity status



Applicant(s) hereby assert(s) small entity status under 37 C.F.R. § 1.27.

9. Fee Calculation (37 C.F.R. §1.16)

	Number Filed	Number Extra	at Rate of	Basic Fee Utility \$740.00 Design \$330.00
			BASIC FEE	740.00
Total Claims (37 C.F.R. §1.16(c))	41 - 20 =	21	18.00	378.00
Independent Claims (37 C.F.R. §1.16(b))	5 - 3 =	2	84.00	168.00
Multiple dependent claim(s), if any (37 C.F.R. §1.16(d))			280.00	0
SUB-TOTAL =				1,286.00
Reduction by 1/2 for filing by a small entity				
TOTAL FILING FEE =				\$1,286.00

10. Fee Payment

☐ Not Enclosed. **NO FEE IS BEING PAID BY CHECK OR DEPOSIT ACCOUNT AT THIS TIME.**

☒ Enclosed.
A check in the amount of \$1,286.00 for the filing fee is enclosed.

11. ☒ **Except** for issue fees payable under 37 C.F.R. §1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account No. 50-0310. This paragraph is intended to be a **CONSTRUCTIVE PETITION FOR EXTENSION OF TIME** in accordance with 37 C.F.R. §1.136(a)(3).

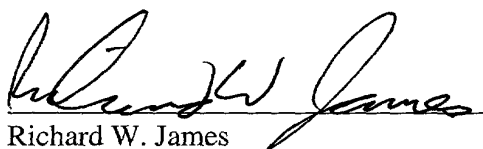
12. Additional papers enclosed:

- ☒ NonPublication Request Under 35 U.S.C. 122(b)(2)(B)(i)
- ☐ Preliminary Amendment
- ☐ Information Disclosure Statement
- ☐ Form PTO-1449, _____ document included
- ☐ Declaration of Biological Deposit
- ☐ Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.

Please accord this application an application number and filing date.

Respectfully submitted,

MORGAN, LEWIS & BOCKIUS LLP



Richard W. James
Registration No. 43,690
Attorney for Applicant

Dated: January 10, 2002

MORGAN, LEWIS & BOCKIUS LLP
1701 Market Street
Philadelphia, PA 19103-2921
412.560.3300

01-14-02

A

Docket No. 046700-5053

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Boaz Maor
Serial No. Not Yet Assigned
Filed: Concurrently Herewith
For: "METHOD, APPARATUS, AND SYSTEM FOR ALLOTING
AN AWARD VOLUME IN AN AUCTION"

BOX PATENT APPLICATION

Commissioner for Patents
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number ET675981918US
Date of Deposit January 10, 2002

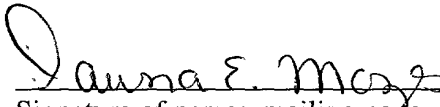
I hereby state the following papers are attached hereto:

Transmittal Letter (4 pages), U.S. Patent Application entitled "METHOD, APPARATUS, AND SYSTEM FOR ALLOTING AN AWARD VOLUME IN AN AUCTION", comprising a specification 56 pages with title page, claims (7 pages), Abstract of the Invention (1 page), drawings (9 sheets - 11 total figures), NonPublication Request Under 35 U.S.C. 122(b)(2)(b)(i) (1 page), and Check No. 3535 in the amount of \$1,286.00 for the filing fee

which are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, on the date indicated above and is addressed to: BOX PATENT APPLICATION, Commissioner for Patents, Washington, D.C. 20231.

Dawna E. Mozes

Typed or printed name of person mailing paper


Signature of person mailing paper

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

**NONPUBLICATION REQUEST
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor

Boaz Maor

Title

METHOD, APPARATUS, AND SYSTEM FOR
ALLOTING AN AWARD VOLUME IN AN
AUCTION

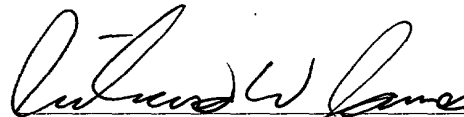
Atty Docket Number

046700-5053

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Jan. 10, 2002
Date



Signature

Attorney for Applicant

Richard W. James, Reg. No. 43,690

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231 DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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